

07/03/01  
U.S. PTO  
JC978 U.S. PTO  
09/09/01

07-05-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Komma et al.  
 Docket: 10873.759US01  
 Title: OPTICAL PICK-UP, OPTICAL DISK APPARATUS AND INFORMATION PROCESSING APPARATUS

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JC978 U.S. PTO  
09/09/01

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL815525077US

Date of Deposit: July 3, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By: *Brant Miles*  
Name: Brant Miles

## BOX PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- Utility Patent Application: Spec. 44 pgs; 58 claims; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- 20 sheets of formal drawings
- Certified copy of a Japanese application, Serial No. 2000-207001, filed July 7, 2000, the right of priority of which is claimed under 35 U.S.C. 119
- A signed Combined Declaration and Power of Attorney
- Assignment of the invention to Matsushita Electric Industrial Co., Ltd., Recordation Form Cover Sheet
- A check in the amount of \$2194.00 to cover the Filing Fee
- A check for \$40.00 to cover the Assignment Recording Fee.
- Other: Communication re: submission of priority document, communication re: figure to be printed on published application
- Return postcard

## CLAIMS AS FILED

| Number of Claims Filed              | In Excess of: | Number Extra | Rate      | Fee       |
|-------------------------------------|---------------|--------------|-----------|-----------|
| <b>Basic Filing Fee</b>             |               |              |           | \$710.00  |
| <b>Total Claims</b>                 | -             |              |           |           |
| 58                                  | 20            | = 38         | x 18.00 = | \$684.00  |
| <b>Independent Claims</b>           | -             |              |           |           |
| 13                                  | 3             | = 10         | x 80.00 = | \$800.00  |
| <b>MULTIPLE DEPENDENT CLAIM FEE</b> |               |              |           | \$0.00    |
| <b>TOTAL FILING FEE</b>             |               |              |           | \$2194.00 |

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

By: *Douglas P. Mueller*Name: Douglas P. Mueller  
Reg. No.: 30,300  
Initials: DPMueller/jlc

(PTO TRANSMITTAL - NEW FILING)

S/N unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Komma et al. Serial No.: unknown  
Filed: concurrent herewith Docket No.: 10873.759US01  
Title: OPTICAL PICK-UP, OPTICAL DISK APPARATUS AND INFORMATION  
PROCESSING APPARATUS

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**CERTIFICATE UNDER 37 CFR 1.10**

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I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:   
Name: Brant Miles

COMMUNICATION

Assistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

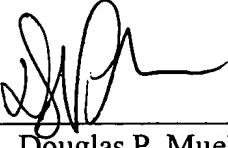
In connection with the above-identified application, applicant respectfully  
requests that Figure 1 be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this  
communication, please contact Applicants' primary attorney-of-record, Douglas P. Mueller (Reg.  
No. 30,300), at (612) 571.5237.

Respectfully submitted,

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Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: July 3, 2001

By 

Douglas P. Mueller  
Reg. No. 30,300

DPM/jlc